







Prior Application:

International Application No. PCT/JP00/01660

Art Unit:

(Unassigned) --

Examiner:

(Unassigned)

Assistant Commissioner for Patents Washington, DC 20231

Sir:

This is a Request for filing a continuation or continuation-in-part application, entitled OPTICAL

AMPLIFIER AND OPTICAL AMPLIFICATION METHOD, under 35 U.S.C. 111(a) of pending prior International

Application No. PCT/JP00/01660, filed on March 17, 2000, entitled OPTICAL AMPLIFIER AND OPTICAL

AMPLIFICATION METHOD, by the following named inventor(s):

INVENTOR	RESIDENCE	CITIZENSHIP	POST OFFICE ADDRESS	
Tetsufumi TSUZAKI	Kanagawa, Japan	Japanese	c/o Yokohama Works of Sumitomo Electric Industries, Ltd. at 1, Taya-cho, Sakae-ku, Yokohama-shi, Kanagawa 244-8588 Japan	
Masayuki NISHIMURA	Kanagawa, Japan	Japanese	c/o Yokohama Works of Sumitomo Electric Industries, Ltd. at 1, Taya-cho, Sakae-ku, Yokohama-shi, Kanagawa 244-8588 Japan	
Masayuki SHIGEMATSU	Kanagawa, Japan	Japanese	c/o Yokohama Works of Sumitomo Electric Industries, Ltd. at 1, Taya-cho, Sakae-ku, Yokohama-shi, Kanagawa 244-8588 Japan	
Hitoshi HATAYAMA	Kanagawa, Japan	Japanese	c/o Yokohama Works of Sumitomo Electric Industries, Ltd. at 1, Taya-cho, Sakae-ku, Yokohama-shi, Kanagawa 244-8588 Japan	
Eisuke SASAOKA	Kanagawa, Japan	Japanese	c/o Yokohama Works of Sumitomo Electric Industries, Ltd. at 1, Taya-cho, Sakae-ku, Yokohama-shi, Kanagawa 244-8588 Japan	

- 1. I hereby verify, to the best of my knowledge, that the enclosed copy of this prior application is a true copy of the above-identified prior application, including the oath or declaration as originally filed.
- 2. Preliminary Amendment is enclosed.

4a. 🔲

2a. 📋	An Information	Disclosure Statement and PTO1449 Form are submitted herewith.
3. 🗌	Cancel claims	

4. The filing fee is calculated on the basis of the claims existing in the prior application as amended at 3 above:

	NO. OF CLAIMS	. *	EXTRA CLAIMS	RATE	AMOUNT
Total Claims	27	-20	7	\$18.00 =	\$126.00
Independent Claims	2	-3	0	\$78.00 =	\$0.00
			Basic	Application Fee	\$690.00
If multiple dependent claims are presented, add \$260.00			\$0.00		
			Total	Application Fee	\$816.00
Subtract ½ if small entity			\$0.00		
		TOTA	L APPLICAT	ION FEE DUE	\$816.00
AMOUNT TO BE CHARGED TO DEPOSIT ACCOUNT NO. 500417			\$816.00		

Enclosed is a Verified Statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.

4b. 🗌	A verified Statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 was filed in prior application and such status is still proper and desired.
5. 🛛	The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required, including any extension of time fees including any extension of time fees to maintain the pendency of this application or credit any overpayment to Deposit Account No. 500417.
6.	Amend the specification by inserting before the first line the sentence:
7. 🛚	Priority of Patent Application Serial No. 1999-196251, filed on July 9, 1999 in Japan; Patent Application Serial No. 1999-212190, filed on July 27, 1999 in Japan; and International Application No. PCT/JP00/01660 filed March 17, 2000 designating the U.S. is claimed under 35 USC 119, 120 and/or 365.
8. 🗌	The prior application is assigned of record to
9. 🔲	The power of attorney in the prior application is to:

10. 🛛	Also enclosed:
	Assignment and recordation fee of \$40.00
11. 🔲	A petition, fee and response has been filed to extend the term in the pending prior application until
12. 🛭 record)	Address all future communications to: (May only be completed by applicant, or attorney or agent of

McDERMOTT, WILL & EMERY 600 13th Street, N.W. Washington, DC 20005-3096

Respectfully submitted,

MCDERMOTT, WILL & EMERY

Gene Z. Rubinson

Registration No. 33,351

600 13th Street, N.W. Washington, DC 20005-3096 (202) 756-8000 GZR:dtb **Date: September 22, 2000**

Facsimile: (202) 756-8087